

23<sup>rd</sup> October, 2019

BSE Limited  
Department of Corporate Services  
Phiroze Jeejeebhoy Towers,  
Dalal Street,  
Mumbai 400 001

National Stock Exchange of India Limited  
Exchange Plaza, Listing Department  
Bandra-Kurla Complex  
Bandra (East), Mumbai 400 051

Dear Sir,

Sub: Compliance of Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

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Pursuant to Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we enclose herewith copy of Certificate dated 18<sup>th</sup> October, 2019 received today from M/s. N. L. Bhatia & Associates, Company Secretaries, confirming timely dispatch of share certificates received by the Company for transfer / transmission / split / renewal, during the period between 1<sup>st</sup> April, 2019 and 30<sup>th</sup> September, 2019.

2. The said Compliance Certificate shall be placed before the Directors for their information at the next Board Meeting of the Company.

Thanking You,

Yours faithfully,  
**VOLTAS LIMITED**

  
(V. P. MALHOTRA)  
Vice President - Taxation,  
Legal & Company Secretary

Encl.

**VOLTAS LIMITED**

Corporate Management Office

Registered Office Voltas House 'A' Dr Babasaheb Ambedkar Road Chinchpokli Mumbai 400 033

Tel 91 22 66656251 66656258 Fax 91 22 66656311 e-mail [vpmalhotra@voltas.com](mailto:vpmalhotra@voltas.com) website [www.voltas.com](http://www.voltas.com)

Corporate Identity Number L29308MH1954PLC009371

A **TATA** Enterprise



**N L BHATIA & ASSOCIATES**  
**PRACTISING COMPANY SECRETARIES**

Tel. : 91-022-2510 0718  
Tel. : 91-022-2510 0698  
E-mail : navnitlb@hotmail.com  
brupadhyay@hotmail.com  
Website : www.nlba.in

VL  
CO. SECY

23 OCT 2019

**CERTIFICATE**

This certificate is issued pursuant to Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 pursuant to uniform Listing Agreement of BSE Limited and National Stock Exchange of India Limited.

The Securities and Exchange Board of India vide gazette notification dated June 8, 2018 and its press release on December 3, 2018 amended Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and has mandated that the transfer of securities would be carried out in dematerialized form only w.e.f. April 1, 2019. Further SEBI vide its press release dated March 27, 2019 clarified that the transfer deeds lodged prior to deadline and returned due to deficiency in the document may be re-lodged for transfer even after the deadline of April 1, 2019.

We have examined all relevant records of Voltas Limited, maintained by its Registrar and Share Transfer Agent, TSR Darashaw Consultants Private Limited (formerly known as TSR Darashaw Limited), 6-10, Haji Moosa Patrawala Industrial Estate, 20, Dr. E. Moses Road, Near Famous Studio, Mahalaxmi, Mumbai 400 011 and on the basis of such examination, checks carried out by us and explanation given to us, we hereby certify that during the period from April 1, 2019 to September 30, 2019, all certificates of equity shares have been issued within 30 days from the date of lodgments with the Company for transfer / transmission / split / renewal.

We further certify that, during half year ended September 30, 2019 the Company has delivered share certificates in respect of transfer of shares only relating to the transfer deeds lodged prior to April 1, 2019 and re-lodged thereafter rectifying the deficiency, as entered in the Memorandum of Transfers within thirty days from the respective date of lodgment/re-lodgment of each deed excepting those rejected on technical grounds.



**For N. L. Bhatia & Associates**  
**Practising Company Secretaries**  
**UIN: P1996MH055800**

*Bharat R Upadhyay* B.R.

**Bharat R Upadhyay**  
**Partner**  
**FCS No. 5436**  
**COP No. 4457**

**Place:** Mumbai  
**Date:** October 18, 2019  
**UDIN:** F005436A000116855